

## Influence of multiple electron scattering on the gain in a gas-loaded free-electron laser

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We present an analysis of the small-signal gain in the gas-loaded free-electron laser. Multiple scattering of electrons by the atoms of the gas inside the optical cavity is shown to lead to two additional effects, as compared to the case of a vacuum free-electron laser: a loss of coherence between different parts of the electron trajectory and an enhancement of the phase "jitter." Both effects become increasingly important at short wavelengths and significantly reduce the small-signal gain per pass. In one-dimensional approximation, analytical expressions are obtained and numerical calculations are made to estimate the beam and undulator parameters necessary for lasing in the vacuum ultraviolet.

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### I. INTRODUCTION

The free-electron laser (FEL) uses a beam of relativistic electrons traveling through a periodic magnetic structure, the so-called undulator, to generate an intense, coherent electromagnetic wave at a frequency that is determined by the electron energy and by the period and field strength of the undulator [1]. There is a growing awareness that there are two important "niches" for the FEL as a scientific research tool: the far-infrared spectral range above roughly  $10\text{ }\mu\text{m}$  and the vacuum ultraviolet (VUV) below  $200\text{ nm}$  [2]. This is related to the absence of regular (intense) lasers in these parts of the spectrum.

In the IR, FEL technology has reached the level of maturity and cost effectiveness that permits operation as a user facility. The situation is different in the VUV and beyond, first because of the much higher electron energy that is required (typically several hundred MeV up to several GeV, instead of several tens of MeV), second because the gain falls off dramatically, and third because optics becomes a serious problem. It is worth noting that, in this part of the spectrum, ordinary lasers also face strong limitations due to the "Einstein collapse," which makes it increasingly difficult to create and maintain a population inversion as the photon energy increases.

It was proposed by Fauchet *et al.* to relax requirements on electron energy by the introduction of a gas into the optical cavity [3]. The main idea is that this reduces the phase velocity of the electromagnetic wave, and, therefore, FEL resonance occurs at a shorter wavelength. For relatively small deviations from the vacuum wavelength, this was confirmed in experiments performed at Stanford University [4–6]. When the pressure is properly adjusted, the gas may provide a considerably larger upshift—typically one or two orders of magnitude—of the laser frequency [7–9]. This makes

the gas-loaded FEL a promising candidate for operation in the VUV at the electron energies that nowadays are used for operation in the IR.

Unfortunately, the gas inside the cavity not only slows down electromagnetic waves but also "damages" the electron beam as it passes through the interaction area. The scattering of electrons by the gas is an important feature of the gas-loaded FEL (GFEL). A theoretical analysis of the small-signal gain in a GFEL including multiple scattering effects was made in [8]. The analysis was based on the assumption that the scattering can be represented as a degradation of the initial beam emittance.

In the present paper, we study the influence of multiple scattering on the small-signal gain in a GFEL in a more detailed way. First, we take into account a possible loss of coherence between different parts of the trajectory of an electron inside the undulator. This effect is shown to be important in the short wavelength region where it leads to a significant gain reduction. Second, we include into the consideration a phase "jitter" due to a modulation of the longitudinal electron velocity. For conventional FEL's, it is well known that, in the case of a planar undulator, significant modulation can occur on a length scale of one undulator period, with the consequence of a high-frequency oscillation of the particle phase relative to the ponderomotive potential well [1]. This reduces the gain. The effect is known to be negligible for helical undulators [1] provided that the angular spread in the electron beam is sufficiently small [10]. In a GFEL, however, phase "jitter" becomes important even for a helical undulator [11], due to the strong reduction in wavelength.

Analytical expressions for the small-signal gain are obtained and numerical calculations are made to estimate the prospects of lasing in the VUV. Our results show that the approximation used in [8] becomes inadequate in the short wavelength region. It may considerably overestimate the gain if used outside the limits of its validity.

The paper is organized as follows. In Sec. II, we consider the phase "jitter" in a helical GFEL. Multiple scattering is incorporated into our calculations in Sec. III. Section IV contains numerical results and a comparison of our analytical expressions with a few simple limit-

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ing cases. A summary and conclusions are given in Sec. V.

## II. PHASE "JITTER" IN A GFEL

We start from the usual pendulum equations (see, e.g., [12]) describing phase and energy evolution of an electron in the combined field of a helical undulator plus an electromagnetic wave. In the one-dimensional (1D) approximation one has

$$\frac{d\epsilon}{dz} = \frac{m^2\omega}{\epsilon} a_u a_s \sin\Phi, \quad (1)$$

$$\frac{d\Phi}{dz} = \omega \left[ \frac{1}{v_z} - n \right] \pm \Omega, \quad (2)$$

where  $m$  is the electron mass,  $z$  is the particle coordinate along the undulator axis,  $\Phi$  is the particle phase with respect to the ponderomotive potential well,  $\epsilon$  and  $v_z$  are the particle energy and longitudinal velocity,  $\omega$  and  $a_s = eE_0/m\omega$  are the frequency of the wave and its normalized intensity, and  $\Omega = 2\pi/\lambda_u$  and  $a_u = eB_0/m\Omega$  are the corresponding undulator frequency and the undulator parameter, respectively. Relativistic units  $\hbar=c=1$  are used throughout this paper.

In the following,  $a_s$  is assumed to be small, so that all quantities under consideration can be expanded to the lowest nonvanishing order in  $a_s$ . As usual, the initial conditions for Eqs. (1) and (2) correspond to a nonmodulated beam at the entrance of the undulator:  $\Phi_0 \equiv \Phi(z=0)$  is uniformly distributed from 0 to  $2\pi$ . The result may then be averaged over the initial electron energy  $\epsilon_i$  with a weight function corresponding to the energy spread of the electron beam.

The above set of equations differs from the corresponding equations for a vacuum FEL in two aspects. First, Eq. (2) contains a new parameter  $n$ , the refractive index of the medium inside the optical cavity; for a vacuum FEL one should obviously take  $n=1$ . Second, there is " $\pm$ " sign in front of  $\Omega$  because in a GFEL two resonant modes are possible [7]: a phase slip mode for which the electron slips one optical wavelength per undulator period as in a vacuum FEL, and a phase advance mode for which the phase velocity of the electromagnetic wave is so small that the electron advances it by one wavelength per undulator period. In the present paper we shall consider only the phase slip mode, which corresponds to the " $-$ " sign, because the phase advance mode requires a higher gas pressure inside the cavity and is, therefore, more sensitive for multiple scattering.

The refractive index  $n$  for gases is close to unity and may thus be written as

$$n = 1 + \frac{\chi'}{2}, \quad (3)$$

where  $\chi'$  is the real part of the dielectric permittivity of the medium. It is  $\omega$  dependent and for frequencies below the resonant one satisfies the condition  $0 < \chi' \ll 1$ . For simplicity, in the present paper we adopt an approximate

expression for  $\chi'$ , which corresponds to a single spectral line with oscillator strength equal to unity [13]

$$\chi'(\omega) \approx \frac{N}{N_{\text{STP}}} \frac{A}{(1 - \omega^2/\omega_0^2)}, \quad (4)$$

where  $N/N_{\text{STP}}$  is the ratio of the actual gas density and the density under STP conditions (STP = standard temperature and pressure: 0°C, 1 atm),  $\omega_0$  is the resonant atomic frequency, and  $A$  is a gas-dependent constant. The two most important cases are helium and hydrogen; corresponding parameters are shown in Table I.

Further, for an ultrarelativistic particle ( $\epsilon \gg m$ ) the longitudinal velocity is close to unity. Namely,

$$v_z \approx 1 - \frac{1}{2\gamma^2} - \frac{v_t^2}{2}, \quad (5)$$

where  $\mathbf{v}_t = \{v_x, v_y\}$  is the transverse velocity of the particle and  $\gamma = \epsilon/m$  is the Lorentz factor. If the transverse part of the undulator field is  $\mathbf{B}_t(z) = \{B_0 \cos \Omega z, B_0 \sin \Omega z\}$ , then up to small terms proportional to  $a_s$  the transverse velocity is

$$\mathbf{v}_t(z) = \mathbf{v}_{0t} - \frac{e\mathbf{B}(z)}{m\gamma\omega}, \quad (6)$$

where  $\mathbf{v}_{0t} = \{v_{0x}, v_{0y}\}$  is the transverse velocity of the particle at the entrance of the undulator ( $z=0$ ).

Taking into account Eqs. (3)–(6), one can rewrite Eq. (2) as

$$\frac{d\Phi}{dz} = \frac{\omega}{2} \left[ \frac{(1+a_u^2)}{\gamma^2} - \frac{2a_u}{\gamma} (v_{0x} \cos \Omega z + v_{0y} \sin \Omega z) + v_{0t}^2 - \chi' \right] - \Omega. \quad (7)$$

Together with Eq. (1), the above equation forms now the set of equations describing the particle dynamics in a helical gas-loaded FEL.

Equations (1) and (7) still contain terms of different time scales: "fast" oscillations such as  $\cos(\Omega z)$  with typical frequency  $\Omega$  and "slow evolution" terms with much lower frequencies. The next step is to average the equations over one undulator period. As a result of this averaging, one has (see Appendix A)

$$\frac{d\gamma}{dz} = \frac{\omega}{\gamma} a_u a_s J_0 \left[ \frac{\omega a_u v_{0t}}{\gamma \Omega} \right] \sin \left[ \Phi - \frac{\omega a_u v_{0y}}{\gamma \Omega} \right], \quad (8)$$

$$\frac{d\Phi}{dz} = \frac{\omega}{2} \left[ \frac{(1+a_u^2)}{\gamma^2} + v_{0t}^2 - \chi' \right] - \Omega, \quad (9)$$

TABLE I. Refractive and scattering properties of hydrogen and helium;  $\omega_0$  is the resonant atomic frequency,  $\lambda_0 \equiv 2\pi/\omega_0$  is the cutoff wavelength,  $R$  is the characteristic scattering length [see Eq. (22)].

Gas	$A$	$\hbar\omega_0$ (eV)	$\lambda_0$ (nm)	$R$ (m)
H <sub>2</sub>	$2.8 \times 10^{-4}$	10.2	121.6	16
He	$0.7 \times 10^{-4}$	21.2	58.4	12

where  $J_0$  is the zeroth order Bessel function.

Up to now, we did not include multiple scattering in our model. Before doing this, we will briefly discuss the equations obtained. First, from Eq. (9) one can see a modification of the synchronism condition under the influence of a medium, as compared to the case of a vacuum FEL. Assuming the angular spread in the electron beam to be small,  $v_{0t}^2 \ll \gamma^{-2}$ , and taking  $d\Phi/dz \approx 0$ , one has

$$\omega = \frac{2\Omega}{(1 + a_u^2)\gamma^{-2} - \chi'} \quad (10)$$

When properly adjusted, the two terms in the denominator may nearly compensate each other, thus leading to a considerable upshift of the frequency generated; for STP conditions (see Table I) nearly full compensation takes place for beam energies in the range 50–100 MeV. In fact, one should solve Eqs. (10) and (4) simultaneously because  $\chi'$  is a function of  $\omega$ . The corresponding photon frequency  $\omega$  then becomes a double-valued function of the gas density (or pressure, if one keeps the temperature constant) [7].

Second, a simple estimate of the importance of the phase “jitter” can be made. The “jitter” influence is described by the argument of the Bessel function,

$$x = \frac{\omega a_u v_{0t}}{\gamma \Omega}.$$

Substituting here  $\omega$  from Eq. (10) and taking into account that typically  $v_{0t} \ll \gamma^{-1}$ , one has

$$x \ll \frac{2a_u}{1 + a_u^2 - \chi'\gamma^2}. \quad (11)$$

For an ordinary helical FEL ( $\chi' = 0$ ), the Bessel function argument is  $x \ll 1$  because the undulator parameter  $a_u$  is typically about unity; the “jitter” influence is thus negligibly small in this case. But as a compensation of the positive and negative terms in the denominator of Eq. (11) [and the corresponding frequency upshift, see Eq. (10)] becomes noticeable, the right hand side of the above condition allows  $x$  to be larger than unity. The “jitter” becomes thus increasingly important in the short wavelength region of GFEL operation.

### III. INFLUENCE OF MULTIPLE SCATTERING

When passing through a GFEL, relativistic electrons from an accelerator inevitably collide with the atoms of the gas inside the optical cavity. The influence of these collisions will be taken into account in this section. Because of the long-range nature of the Coulomb force of interaction, a fast charged particle in a medium experiences many random small-angle scatterings. The process of multiple scattering looks, therefore, as a diffusion process in transverse velocity phase space, while the energy of the particle remains nearly constant.

Equations (8) and (9) contain an explicit dependence on the initial transverse velocity of the electron  $v_{0t}$ . To incorporate collisions into our calculations, we should now consider the transverse velocity of the particle as a “slow-

ly” varying function of the coordinate  $z$ . This assumption is consistent with the averaging of the exact equations of motion over one undulator period made above. It only means that the effect of the multiple small-angle scattering is assumed to be negligible at distances of order  $\lambda_u$  but can be substantial when accumulated over many undulator periods.

For further calculations it is convenient to rewrite Eqs. (8) and (9) in a shorter notation (we omit the index 0 of the transverse velocity because  $v_t$  is now a function of  $z$ ),

$$\frac{du}{dz} = \omega \beta J_0 \left[ \frac{\omega a_u v_t(z)}{\gamma_i \Omega} \right] \sin \left[ \Phi - \frac{\omega a_u v_y(z)}{\gamma_i \Omega} \right], \quad (12)$$

$$\frac{d\Phi}{dz} = \frac{\omega}{2} [\mu - bu(z) + v_t^2(z)], \quad (13)$$

where  $u = (\gamma/\gamma_i)^2 - 1$  is a new independent variable,  $\gamma_i$  is the particle initial Lorentz-factor,  $\beta = 2a_s a_u / \gamma_i^2 \ll 1$  is a new small parameter, and  $b$  and  $\mu$  are constants defined as

$$b = \frac{1 + a_u^2}{\gamma_i^2}, \quad (14)$$

$$\mu = b - \chi'(\omega) - \frac{2\Omega}{\omega}. \quad (15)$$

The initial condition for the new variable  $u$  is  $u(z=0) = 0$ , and in the small-signal regime  $u$  is assumed to be small:  $|u| \ll 1$ . With the help of the energy conservation law, the small-signal gain per pass may be expressed in terms of  $u$  as

$$G = -\frac{2\pi e^2 n_b \gamma_i}{m \omega^2 a_s^2} \langle u(L) \rangle_\Phi, \quad (16)$$

where  $e$  is the electron charge,  $n_b$  is the beam density, and  $L$  is the length of the undulator. The brackets indicate averaging over the initial phase  $\Phi_0$  from 0 to  $2\pi$ .

Integrating now Eqs. (12) and (13) over  $z$  from 0 to  $L$ , expanding them in  $\beta$  up to the second order and averaging over  $\Phi_0$ , one has the following expression for the average energy transfer from the particle to the electromagnetic wave in a distance  $L$ :

$$\begin{aligned} \langle u(L) \rangle_\Phi = & \frac{b\beta^2\omega^3}{4} \int_0^L dz_1 J_0 \left[ \frac{\omega a_u v_t(z_1)}{\gamma_i \Omega} \right] \\ & \times \int_0^{z_1} dz_2 (z_1 - z_2) J_0 \left[ \frac{\omega a_u v_t(z_2)}{\gamma_i \Omega} \right] \\ & \times \sin \left[ \frac{\omega a_u}{\gamma_i \Omega} [v_y(z_1) - v_y(z_2)] \right. \\ & \left. + \frac{\omega}{2} \int_{z_2}^{z_1} dz_3 [\mu + v_t^2(z_3)] \right]. \quad (17) \end{aligned}$$

With the help of Eq. (17) one could find the energy transfer from any given particle trajectory, that is, for any given dependence  $v_t(z)$ ,  $0 < z < L$ . But in a scattering medium the consideration of a trajectory of an individual electron has not much physical sense. To obtain a meaningful result, we should average the quantity under consideration over all possible trajectories. Equation (17) has

an advantage for this task because it is written in the form of a two-point correlation function of an electron trajectory. We may, therefore, apply a special technique developed by Migdal [14] for averaging such functions over trajectories.

Equation (17) contains the two following kinds of parameters of a trajectory: first, the transverse velocity  $\mathbf{v}_t$  at different distances  $z$ , and second, a special combination of the transverse velocities, which we shall denote as  $\xi$ ,

$$\xi(z, z') \equiv \int_z^{z'} dx v_t^2(x). \quad (18)$$

$$\begin{aligned} \langle\langle u(L) \rangle\rangle_{\text{traject}} = & -\frac{b\beta^2\omega^3}{4} \text{Im} \int_0^L dz_1 \int_0^{z_1} dz_2 (z_1 - z_2) \exp \left[ -i\frac{\omega\mu}{2}(z_1 - z_2) \right] \\ & \times \int d^2v_t w_1(\mathbf{v}_t, z_2) \int_{-\infty}^{\infty} d\xi \int d^2v'_t w_2(\xi, \mathbf{v}'_t, z_1 | \mathbf{v}_t, z_2) \\ & \times J_0 \left[ \frac{\omega a_u v_t}{\gamma_i \Omega} \right] J_0 \left[ \frac{\omega a_u v'_t}{\gamma_i \Omega} \right] \exp \left[ -i\frac{\omega a_u}{\gamma_i \Omega} (v'_t - v_t) - i\frac{\omega}{2} \xi \right]. \end{aligned} \quad (19)$$

The transverse velocity distribution  $w_1$  is well known in the theory of multiple scattering [15]. It is a Gaussian distribution, the width of which grows linearly with the depth of a particle penetration into a medium,

$$w_1(\mathbf{v}_t, z) = \frac{1}{\pi(4qz + \theta_0^2)} \exp \left[ -\frac{v_t^2}{4qz + \theta_0^2} \right], \quad (20)$$

where  $\theta_0$  is the angular spread of the beam at the entrance of the undulator,  $q \equiv \langle \theta_S^2 \rangle / 4$  is the only parameter describing the multiple scattering, and  $\langle \theta_S^2 \rangle$  is the mean square of the scattering angle of the particle per unit path. The latter is defined as

$$\langle \theta_S^2 \rangle = Nv \int d\theta \theta^2 \frac{d\sigma}{d\theta}, \quad (21)$$

where  $N$  is the density of the medium,  $d\sigma/d\theta$  is the elastic cross section, and  $\theta$  is the angle between the initial and the final particle momenta. For applications, it is convenient to present the parameter  $q$  as

$$q = \frac{N}{N_{\text{STP}}} \frac{1}{\gamma_i^2 R}, \quad (22)$$

where  $R$  is a characteristic length (see Table I).

As for the correlation probability function  $w_2$ , it is known to satisfy a kinetic equation that can be reduced to the Fokker-Planck equation [15]. Because of space-time uniformity,  $w_2$  obviously depends only on the difference between  $z_1$  and  $z_2$ ; in the following we shall denote it as  $\tau$ :

The  $\omega\xi/2$  term in the argument of the sine in Eq. (17) carries information about coherence between different parts of the electron trajectory and, therefore, needs an accurate treatment.

Let  $w_1(\mathbf{v}_t, z)$  be the probability for a particle to have the transverse velocity  $\mathbf{v}_t$  at the distance  $z$ , and  $w_2(\xi, \mathbf{v}'_t, z' | \mathbf{v}_t, z)$  be the probability to have the "coordinate"  $\xi$  and the transverse velocity  $\mathbf{v}'_t$  at the distance  $z'$ , provided that at the distance  $z$  this "coordinate" and velocity were equal to 0 and  $\mathbf{v}_t$ , respectively. Averaging of Eq. (17) over all possible trajectories then means

$$\tau \equiv z_1 - z_2.$$

In the small-angle approximation, one thus obtains [14]

$$\frac{\partial w_2}{\partial \tau} + (v'_t)^2 \frac{\partial w_2}{\partial \xi} = q \Delta_{v'_t} w_2, \quad (23)$$

where

$$\Delta_{v'_t} = \left[ \frac{\partial^2}{\partial v_x'^2} + \frac{\partial^2}{\partial v_y'^2} \right]$$

is the Laplace operator in the transverse velocity space. Equation (23) is to be solved under the following initial condition:

$$w_2(\tau=0) = \delta(\xi) \delta(\mathbf{v}'_t - \mathbf{v}_t). \quad (24)$$

It is convenient to define a new function

$$F(\mathbf{v}_t, \mathbf{v}'_t, \tau) \equiv \int_{-\infty}^{\infty} d\xi w_2(\xi, \mathbf{v}'_t, \tau | \mathbf{v}_t) \exp \left[ -i\frac{\omega}{2} \xi \right]. \quad (25)$$

Combining Eqs. (23)–(25), one has

$$\frac{\partial F}{\partial \tau} + i\frac{\omega}{2} (v'_t)^2 F = q \Delta_{v'_t} F \quad (26)$$

with the initial condition

$$F(\tau=0) = \delta(\mathbf{v}'_t - \mathbf{v}_t). \quad (27)$$

The solution of Eqs. (26) and (27) can be found as

$$\begin{aligned} F(\mathbf{v}_t, \mathbf{v}'_t, \tau) = & \frac{1}{\pi \sinh(\sqrt{2i\omega q} \tau)} \left[ \frac{i\omega}{8q} \right]^{1/2} \\ & \times \exp \left[ \left[ \frac{i\omega}{8q} \right]^{1/2} \left[ \frac{2\mathbf{v}_t \cdot \mathbf{v}'_t}{\sinh(\sqrt{2i\omega q} \tau)} - [v_t^2 + (v'_t)^2] \coth(\sqrt{2i\omega q} \tau) \right] \right]. \end{aligned} \quad (28)$$

Substituting the above expressions for  $w_1$  and  $F$  into Eq. (19), one has

$$\begin{aligned} \langle\langle u(L) \rangle\rangle_{\Phi} \rangle_{\text{traject}} = & -\frac{b\beta^2\omega^3}{4\pi^2} \text{Im} \int_0^L dz \int_0^{L-z} d\tau \left[ \frac{i\omega}{8q} \right]^{1/2} \frac{\tau}{\sinh(\sqrt{2i\omega q} \tau)} \exp \left[ -i\frac{\omega\mu\tau}{2} \right] \\ & \times \int d^2v_t \frac{1}{4qz + \theta_0^2} \exp \left[ -\frac{v_t^2}{4qz + \theta_0^2} \right] J_0 \left[ \frac{\omega a_u v_t}{\gamma_i \Omega} \right] \int d^2v'_t \exp \left[ -i\frac{\omega a_u}{\gamma_i \Omega} (v'_y - v_y) \right] \\ & \times J_0 \left[ \frac{\omega a_u v'_t}{\gamma_i \Omega} \right] \exp \left[ \left[ \frac{i\omega}{8q} \right]^{1/2} \left[ \frac{2\mathbf{v}_t \cdot \mathbf{v}'_t}{\sinh(\sqrt{2i\omega q} \tau)} - [v_t^2 + (v'_t)^2] \coth(\sqrt{2i\omega q} \tau) \right] \right]. \end{aligned} \quad (29)$$

This is the expression for the energy transfer that takes into account phase “jitter” and coherence loss due to multiple scattering.

In the most general case, one should average the above expression over a distribution of the initial energy of the particles in the beam. For the Gaussian form

$$w(\gamma_i) = \frac{1}{\sqrt{2\pi}\gamma_0\sigma_\gamma} \exp \left[ -\frac{(\gamma_i - \gamma_0)^2}{2\gamma_0^2\sigma_\gamma^2} \right] \quad (30)$$

this averaging involves a simple change in Eq. (29)

$$\exp \left[ -i\frac{\omega\mu\tau}{2} \right] \rightarrow \exp \left[ -i\frac{\omega\mu_0\tau}{2} - \frac{b_0^2\omega^2\sigma_\gamma^2\tau^2}{2} \right], \quad (31)$$

where  $b_0$  and  $\mu_0$  are defined as

$$b_0 = \frac{1 + a_u^2}{\gamma_0^2}, \quad (32)$$

$$\mu_0 = b_0 - \chi'(\omega) - \frac{2\Omega}{\omega}. \quad (33)$$

The relative energy spread in the beam  $\sigma_\gamma$  is assumed to be small,  $\sigma_\gamma \ll 1$ , therefore, in all other terms of Eq. (29) the particle energy  $\gamma_i$  may be taken equal to the average energy of the beam  $\gamma_0$ .

The six-dimensional integral in Eq. (29) can be reduced to a four-dimensional one (see Appendix B). Taking into account Eqs. (31) and (16) as well, we arrive at

$$\begin{aligned} G = & \frac{2e^2 n_b a_u^2 (1 + a_u^2)}{\pi m \omega^{1/2} q^{3/2} \gamma_0^5} \text{Im} \int_{T_0}^{T_0 + L\sqrt{\omega q}} dT \int_0^{T_0 + L\sqrt{\omega q} - T} dt t D(T, t) \exp \left[ -i\frac{\mu_0 t}{2} \left[ \frac{\omega}{q} \right]^{1/2} - \frac{b_0^2 \omega \sigma_\gamma^2 t^2}{2q} \right] \\ & \times \int_0^\pi d\phi \int_0^\pi d\psi \exp \{ -QTD(T, t) [(2 - \cos\phi - \cos\psi) \cosh(t\sqrt{2i}) - (1 - \cos\phi)(1 - \cos\psi)] \} \\ & \times \exp \left[ -\frac{QD(T, t)}{\sqrt{2i}} (1 - \cos\phi) \sinh(t/\sqrt{2i}) \right] \cosh[QTD(T, t) \sin\phi \sin\psi], \end{aligned} \quad (34)$$

where

$$D(T, t) = \frac{1}{\cosh(t\sqrt{2i}) + T\sqrt{2i} \sinh(t/\sqrt{2i})}$$

and

$$T_0 = \frac{\theta_0^2}{4} \left[ \frac{\omega}{q} \right]^{1/2}. \quad (35)$$

The dimensionless parameter

$$Q \equiv \frac{2a_u^2 \omega^{3/2} q^{1/2}}{\Omega^2 \gamma_0^2} \quad (36)$$

characterizes the influence of the “phase jitter” for a helical GFEL.

Equation (34) is our final result for the small-signal gain per pass in a GFEL. The only step to be made is to include the absorption of light in the medium (in fact, below the resonant atomic frequency there is no real absorption, only scattering, but in our considerations we may ignore the difference). The effective gain is then the

difference between the amplification and the absorption of the wave,

$$G_{\text{eff}} = G - 2Lh(\omega), \quad (37)$$

where  $2L$  is two times the undulator length, i.e., the minimal length of the roundtrip path, and  $h$  is the extinction coefficient. For gaseous media the extinction coefficient is [13]

$$h = \frac{2\omega^4}{3\pi N} (n - 1)^2. \quad (38)$$

Because of the sharp  $\omega$  dependence of the refractive index  $n$  [see Eqs. (3) and (4)], absorption will be important only at frequencies close to the resonant frequencies of the gas molecules.

#### IV. NUMERICAL RESULTS AND DISCUSSION

We have derived a rather long and complicated expression for the gain in a GFEL, which can be studied only numerically. But before turning to numerical calcula-

tions, it is instructive to consider some simple limiting cases.

Let us first separate the influence of the “phase jitter” and of the coherence loss. The “jitter” is negligible if the above parameter  $Q$  [see Eq. (36)] is small (one may formally consider this as the limiting case of a small undulator parameter,  $a_u \rightarrow 0$ ). The influence of the coherence loss remains unchanged in this limit. Putting  $Q \rightarrow 0$ , one obtains from Eq. (34)

$$\int_0^\pi d\phi \int_0^\pi d\psi \cdots \rightarrow \pi^2,$$

and, therefore,

$$G = \frac{2\pi e^2 n_b a_u^2 (1 + a_u^2)}{m \omega^{1/2} q^{3/2} \gamma_0^5} \text{Im} \int_0^{L/\sqrt{\omega q}} dt \frac{t}{\sqrt{2i} \sinh(t\sqrt{2i})} \\ \times \exp \left[ -i \frac{\mu_0 t}{2} \left( \frac{\omega}{q} \right)^{1/2} - \frac{b_0^2 \omega \sigma_\gamma^2 t^2}{2q} \right] \\ \times \ln \left[ 1 + \frac{\sqrt{2i} (L\sqrt{\omega q} - t) \tanh(t\sqrt{2i})}{1 + \sqrt{2i} T_0 \tanh(t\sqrt{2i})} \right]. \quad (39)$$

One can see from Eq. (39) that the initial angular spread of the beam (which is represented by  $T_0$ ) is not equivalent to the influence of multiple scattering (which is proportional to  $q$ ). It means, generally speaking, that the latter cannot be represented merely as a growth of the beam emittance, as was assumed in [8]. The difference comes from taking into account the possibility of coherence loss between different parts of the particle trajectory.

As an illustration, the ratio  $R$  of the exact result [Eq. (39)] and a “naive” result, which assumes usage of an ordinary small-signal gain expression for a vacuum FEL with distance-dependent [corresponding to the multiple scattering theory, see Eqs. (20)–(22)] beam emittance, is shown in Fig. 1. The ratio is presented as a function of the undulator length  $L$  measured in units of some “coherence scale”  $L_q$  which is defined as

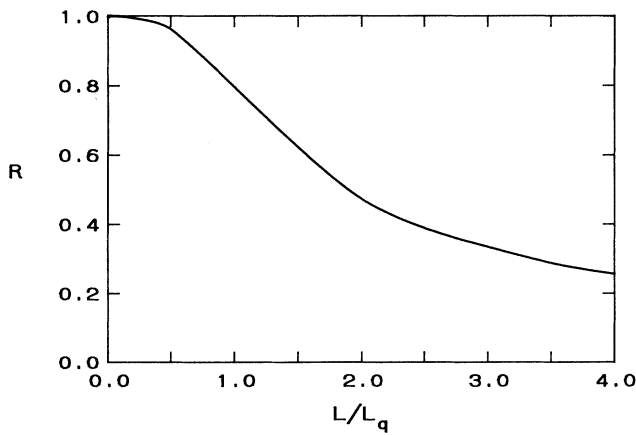


FIG. 1. The ratio of the small-signal gain calculated from Eq. (39) and from a simplified approach, assuming usage of an ordinary small-signal gain expression for a vacuum FEL with a prescribed distance-dependent beam emittance, as a function of the dimensionless undulator length  $L/L_q$  [Eq. (40)].

$$L_q \equiv 4/\sqrt{\omega q}. \quad (40)$$

One can see that the “naive” approach is reasonably correct at  $L < L_q$  but may strongly overestimate the small-signal gain as the undulator length becomes larger than  $L_q$ . To be specific, let us take  $\gamma_0 = 100$  and consider a gas pressure  $P \sim 0.1$  atm. In the infrared range, the corresponding scale is then rather long:  $L_q \sim (3-5)$  meters for  $\lambda = (2-4)$   $\mu\text{m}$ . But it becomes considerably shorter as one approaches the VUV region. For the wavelengths nearby the hydrogen cutoff wavelength ( $\lambda = 121.6$  nm) the scale  $L_q$  is about 70 cm. For the helium cutoff wavelength ( $\lambda = 58.4$  nm) it is even shorter:  $L_q \sim 40$  cm. The influence of the coherence loss thus becomes increasingly important as the wavelength decreases; consequently, the assumption of an increase in emittance becomes less valid.

The transition to the case of a vacuum FEL can be traced as well. This corresponds to the limit  $q \rightarrow 0$ . The upper limit of the integral in Eq. (39) then becomes small,  $L\sqrt{\omega q} \ll 1$ . One may, therefore, expand hyperbolic and logarithmic functions in the integrand into series in  $t$  and take lowest-order terms. Introducing a new variable  $x \equiv t/\sqrt{\omega q}$  and using Eq. (35), one has

$$G = \frac{2\pi e^2 n_b \omega a_u^2 (1 + a_u^2)}{m \gamma_0^5} \text{Im} \int_0^L dx \frac{x(L-x)}{(1 + i\omega\theta_0^2 x/2)} \\ \times \exp \left[ -i \frac{\omega}{2} \left[ \frac{1 + a_u^2}{\gamma_0^2} - \frac{2\Omega}{\omega} - \chi' \right] x - \frac{b_0^2 \omega^2 \sigma_\gamma^2 x^2}{2} \right]. \quad (41)$$

For generality, we keep a nonzero refractive term  $\chi'$ , as if refraction could be introduced without scattering; in the limit  $\chi' \rightarrow 0$  the above expression describes the small-signal gain per pass of a vacuum FEL with finite angular and energy spread of the electron beam. In the limit  $\sigma_\gamma \rightarrow 0$  and  $\theta_0^2 \rightarrow 0$  we arrive at the expression

$$G = \frac{\pi e^2 n_b a_u^2 (1 + a_u^2) \omega L^3}{2m \gamma_0^5} \\ \times \frac{d}{d\theta} \left[ \frac{\sin^2 \theta}{\theta^2} \right]_{\theta = \omega L/4[(1 + a_u^2)/\gamma_0^2 - 2\Omega/\omega - \chi']}, \quad (42)$$

which is identical to the well-known formula for the vacuum case [16] if  $\chi' = 0$ . Our result thus correctly reproduces expressions for ordinary FEL's.

To illustrate the above statement and to check our numerical scheme, we made computations of the gain at different energies of the electron beam with the help of Eq. (34) and compared them with the analytical formula Eq. (42). The generated wavelength was taken to be 130 nm; the value of  $\chi'$  was chosen such that exact synchronism corresponded to  $\gamma_0 = 100$ . The following undulator parameters are used in our numerical calculations throughout the paper:  $\lambda_u = 2$  cm,  $B_0 = 5$  kG,  $a_u \approx 1$ . The results (in arbitrary units) are presented in Fig. 2; the dotted line is obtained from Eq. (34) and the circles from Eq. (42). One can see the excellent consistency of the two sets of data.

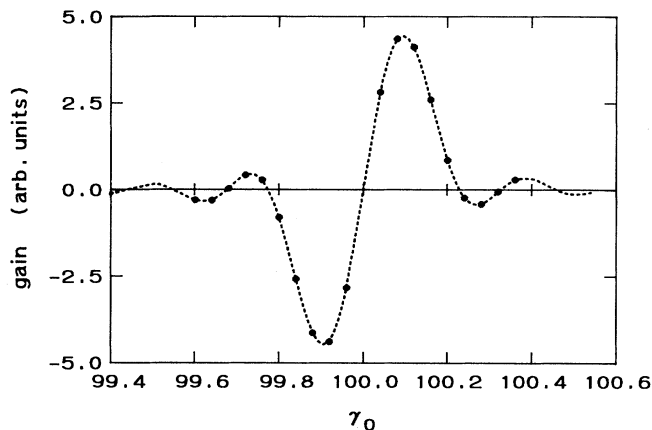


FIG. 2. The small-signal gain per pass as a function of the particle energy for an idealized case wherein the multiple scattering, as well as angular spread and energy spread of the beam, are neglected. The dotted line is the result of direct numerical calculations from Eq. (34), circles are the analytical expression for the limiting case of a vacuum FEL with refraction [Eq. (42)]. The undulator parameters are  $\lambda_u = 2$  cm,  $B_0 = 5$  kG, the generated wavelength is  $\lambda = 130$  nm.

The influence of different effects on the small-signal gain is illustrated in Fig. 3. For the same parameters as in Fig. 2, we calculate the gain: (i) without energy spread and multiple scattering, (ii) taking into account only the energy spread with  $\sigma_\gamma = 1 \times 10^{-3}$ , and (iii) with both multiple scattering and an energy spread of  $\sigma_\gamma = 1 \times 10^{-3}$  taken into account. The gas inside the cavity is hydrogen. One can see from Fig. 3 that even as small a relative energy spread as  $10^{-3}$  noticeably reduces the gain in the short wavelength region (dashed line). The addition of multiple scattering not only further reduces the gain but

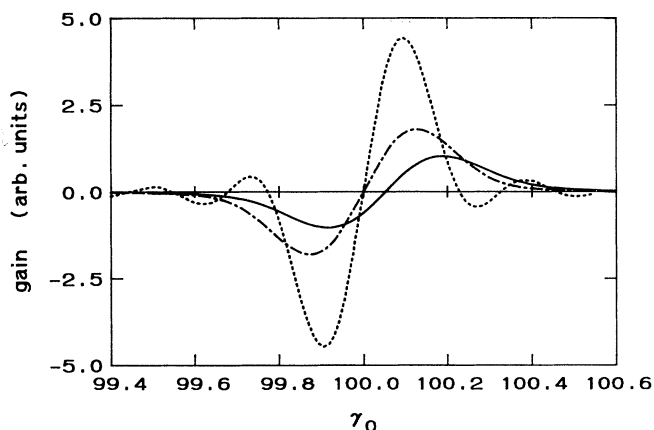


FIG. 3. The influence of different effects on the gain curve of a GFEL at short wavelength. Dotted line, no multiple scattering, no energy spread (same as for Fig. 2); dashed line, no multiple scattering, the relative energy spread is  $\sigma_\gamma = 1 \times 10^{-3}$ ; solid line, the same energy spread together with multiple scattering. The undulator parameters are the same as in Fig. 2. The gas inside the cavity is hydrogen, the pressure is adjusted for generation at 130 nm and equals to  $\sim 0.08$  atm.

also makes the gain curve asymmetric (solid line).

We now turn to the magnitude of the GFEL gain and the beam parameters necessary for lasing in the ultra-violet. For realistic estimates, it should be noted that the parameters appearing in Eq. (34) are not independent. Because of 3D effects, the beam density  $n_b$  is in fact a function of the longitudinal coordinate  $z$  and strongly depends on the beam waist radius  $r_0$ . In turn, the latter, as well as the initial angular spread  $\theta_0$ , is connected to the undulator length  $L$ . To incorporate all this with the 1D expressions obtained above, the following procedure was adopted.

We keep the four most important beam parameters fixed: the total current of the beam  $I$ , the average energy  $\gamma_0$ , the energy spread  $\sigma_\gamma$  and the normalized emittance  $\epsilon_N \equiv \pi \gamma_0 r_0 \theta_0$ . Then  $n_b$  is estimated as

$$n_b = \frac{I}{e S_{\text{eff}}} , \quad (43)$$

where  $S_{\text{eff}}$  is some effective (averaged over the longitudinal coordinate) cross-section area of the beam inside the cavity. The beam is always focused onto the undulator axis to reach a high-current density, but it cannot be focused too much because it has to match the optical mode along the whole undulator length. If the electron beam waist is situated nearly in the middle of the interaction area and  $r_0$  is the waist radius, then the beam radius at the ends of the undulator can be estimated as

$$r \left( \frac{L}{2} \right) \approx r_0 + \frac{L}{2} \theta_0 = r_0 + \frac{L \epsilon_N}{2 \pi \gamma_0 r_0} . \quad (44)$$

Minimizing Eq. (44) with respect to  $r_0$ , one has

$$r_0 \approx \sqrt{L \epsilon_N / 2 \pi \gamma_0} \quad (45)$$

for the waist radius at which the optical mode matches the beam in an optimal way. The radius of the beam at the ends of the undulator is then two times larger than in the center. The corresponding initial angular spread is, in this case,

$$\theta_0 = \frac{\epsilon_N}{\pi \gamma_0 r_0} \approx \left( \frac{2 \epsilon_N}{\pi L \gamma_0} \right)^{1/2} . \quad (46)$$

In addition to its intrinsic size, there is also beam broadening due to multiple scattering. At a distance of about one half of the undulator length, the beam radius associated with the scattering is roughly [15]

$$r_{\text{scat}} \approx \left( \frac{q L^3}{6} \right)^{1/2} . \quad (47)$$

The minimal cross-section area of the beam inside the cavity may then be estimated as  $S_{\text{min}} \approx \pi(r_0^2 + r_{\text{scat}}^2)$ . In the following we shall assume  $S_{\text{eff}}$  to be two times  $S_{\text{min}}$ :

$$S_{\text{eff}} = 2\pi(r_0^2 + r_{\text{scat}}^2) , \quad (48)$$

where the coefficient 2 gives a reasonable estimate of the averaging over the longitudinal coordinate; any more realistic  $z$  dependence of the beam radius would lead to a

similar result. Equations (43)–(46) now express all necessary quantities in the four beam parameters and the undulator length  $L$ .

We do not include slippage effects into our considerations, assuming the electron bunch to be sufficiently long. It is known, however, that slippage is very important near atomic resonances because of strong group velocity dispersion. One can find a detailed discussion of these effects in [9].

A GFEL is thought to be easily tuned with the help of changing the gas pressure inside the cavity. In a sense, this is similar to the tuning of an ordinary FEL with the help of changing of the beam energy. For any given wavelength there is then a certain gas density at which the gain has its maximum. A more surprising feature of the GFEL is that there exists an optimal undulator length as well. This is because of the scattering of particles inside the cavity. At relatively short distances, the gain is proportional to the undulator length as in ordinary FEL's. But when the undulator becomes too long, the "damage" to the beam outweighs all other effects, the beam cross section becomes too large [see Eqs. (47) and (48)] and the gain starts to decrease as the undulator length increases. A typical dependence of the gain per pass on the undulator length is shown in Fig. 4.

Taking into account the above statements, we included an optimization procedure into our computation. Namely, for a fixed beam parameter, the gas density and the undulator length were varied until the maximum of the gain was reached. One thus obtains three curves simultaneously: the "resonant" pressure of the gas, the optimal undulator length and the gain as a function of the output frequency. Some of these curves are presented in the following figures.

Figure 5 shows a typical tuning curve of a GFEL: the gas density (or pressure, if its temperature is kept fixed) as a function of the energy of the photons emitted. The gas inside the cavity is hydrogen, the beam energy is 50 MeV, the undulator parameters coincide with those for Fig. 2. The curve is double valued: two different frequencies

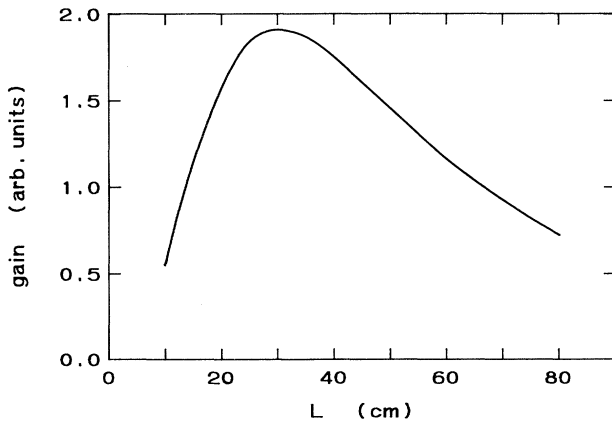


FIG. 4. Typical dependence of the gain per pass on the undulator length. The wavelength is  $\lambda = 130$  nm, the gas inside the cavity is hydrogen at 0.08 atm, the beam energy is 50 MeV. The undulator parameters are the same as for Fig. 2.

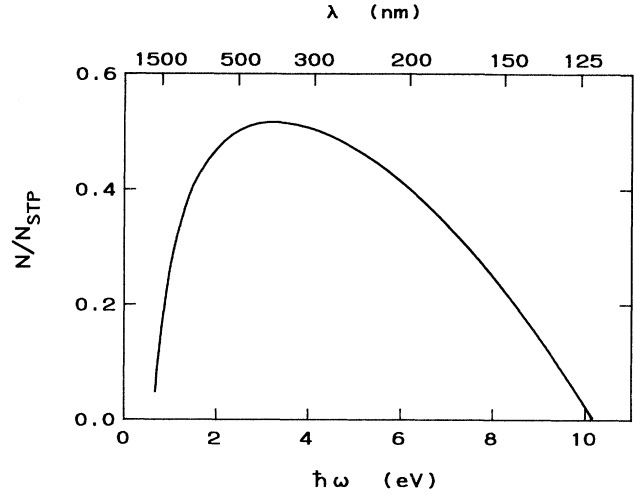


FIG. 5. The tuning curve of a GFEL: the gas density (relative to its density at STP) as a function of the energy of the photons emitted. The gas inside the cavity is hydrogen, the beam energy is 50 MeV, the period of the undulator is 2 cm, magnetic field on the axis is 5 kG.

may be generated at the same pressure [7], as a result of the  $\omega$  dependence of the refractive index  $n$  [see Eqs. (3) and (4)]. One sees from the figure that tuning over the whole interval from the infrared to the ultraviolet is possible with the introduction of less than 1 atm of hydrogen into the cavity. Unfortunately, multiple scattering strongly damages the beam at pressures higher than approximately 0.1 atm (see below), therefore, real tuning is only possible nearby the left and the right ends of the curve.

The next figure illustrates the influence of the beam parameters. The gain per unit beam current  $G/I$  is shown as a function of the photon energy for different normalized emittances and energy spreads of the beam. There are two different sets of data in this figure: three curves for hydrogen filling in the range 1–10 eV for a beam energy of 50 MeV and two curves for helium filling in the range 3–21 eV for beam energies of 50 and 80 MeV. One can clearly see the extremely strong dependence of the gain on the beam quality. For hydrogen filling, a reduction of the normalized emittance from  $50\pi$  to  $5\pi$  mm mrad leads to a gain enhancement of more than 20 times at photon energies  $\hbar\omega \sim (9-10)$  eV. A reduction of the energy spread from  $3 \times 10^{-3}$  to  $1 \times 10^{-3}$  leads to further improvement of the gain by nearly one order of magnitude. For the helium curves, the gain appears to be much lower at a beam energy of 50 MeV even for the best beam quality ( $\epsilon_N = 5\pi$  mm mrad,  $\sigma_\gamma = 1 \times 10^{-3}$ ). This is due to the fact that helium requires pressure four times higher to reach the same refractive index; respectively, multiple scattering strongly reduces the small-signal gain. To obtain a higher gain, one needs to increase the beam energy. For this reason, the calculations for helium filling were performed also at a beam energy of 80 MeV for the same beam quality. Nearly one order of magnitude enhancement of the gain can be seen in the picture.



One should also note a common feature of the curves in Fig. 6: they all have a broad and deep plateau in the central part with sharp maxima at the ends. This is because of the  $\omega$  dependence of the gas pressure. The central parts of the curves correspond to a rather high density of the gas inside the cavity (see Fig. 5), therefore, the gain is strongly reduced with multiple scattering.

To obtain insight into the beam current necessary for lasing in the ultraviolet, it is convenient to represent the same data in a different way. Namely, let us define  $I_\eta$  as the current at which the maximum of the effective gain  $G_{\text{eff}}$  [see Eq. (37)] equals to  $\eta$ . Then  $I_{\eta_0}(\omega)$  is the starting current for laser oscillation at the frequency  $\omega$  if  $\eta_0$  is the corresponding wave attenuation per roundtrip in the optical cavity. Somewhat arbitrarily, we choose  $\eta_0=0.2$ ; this gives a reasonable estimate of the losses for photon energies below 10 eV, where high-finesse cavities are available, and may be too optimistic in the range 10–20 eV. The corresponding starting current  $I_{0.2}$  as a function of the photon energy  $\omega$  is shown in Fig. 7.

We present again two sets of curves corresponding to hydrogen and helium filling of the cavity. Sharp minima nearby the cutoff frequency are the result of light absorption [see Eqs. (37) and (38)]. For the same beam parameters, the starting current for hydrogen appears to be considerably lower than for helium. With hydrogen filling, the starting current at a photon energy 9–10 eV is seen to drop below 10 A for beam parameters accessible for modern linacs with photoinjectors (see e.g., [17]):  $\gamma_0=100$ ,  $\epsilon_N=5\pi$  mm mrad,  $\sigma_\gamma=1\times 10^{-3}$ . Hence, hydrogen filling appears to be a promising avenue for lasing in the VUV region.

For higher photon energies (between 10 and 20 eV) the situation is less optimistic. Even for such low intracavity

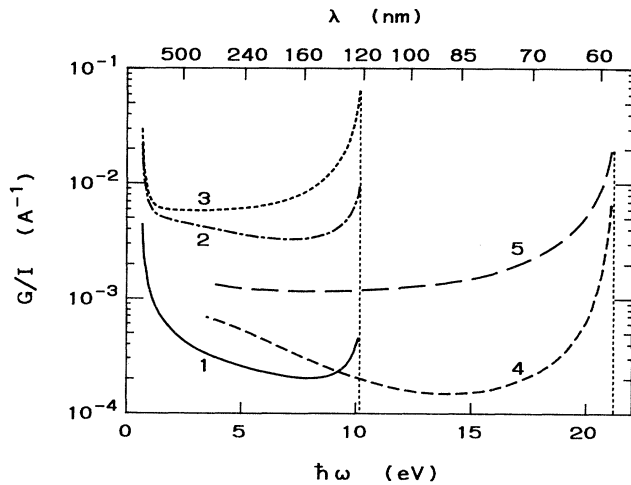


FIG. 6. The gain per unit beam current  $G/I$  as a function of the photon energy for different beam parameters. The curves 1–3 pertain to hydrogen filling of the optical cavity: (1)  $\gamma_0=100$ ,  $\sigma_\gamma=3\times 10^{-3}$ ,  $\epsilon_N=50\pi$  mm mrad; 2– $\gamma_0=100$ ,  $\sigma_\gamma=3\times 10^{-3}$ ,  $\epsilon_N=5\pi$  mm mrad; 3– $\gamma_0=100$ ,  $\sigma_\gamma=1\times 10^{-3}$ ,  $\epsilon_N=5\pi$  mm mrad. The curves 4 and 5 pertain to helium filling: 4– $\gamma_0=100$ ,  $\sigma_\gamma=1\times 10^{-3}$ ,  $\epsilon_N=5\pi$  mm mrad; 5– $\gamma_0=160$ ,  $\sigma_\gamma=1\times 10^{-3}$ ,  $\epsilon_N=5\pi$  mm mrad. The undulator parameters are  $\lambda_u=2$  cm,  $B_0=5$  kG.

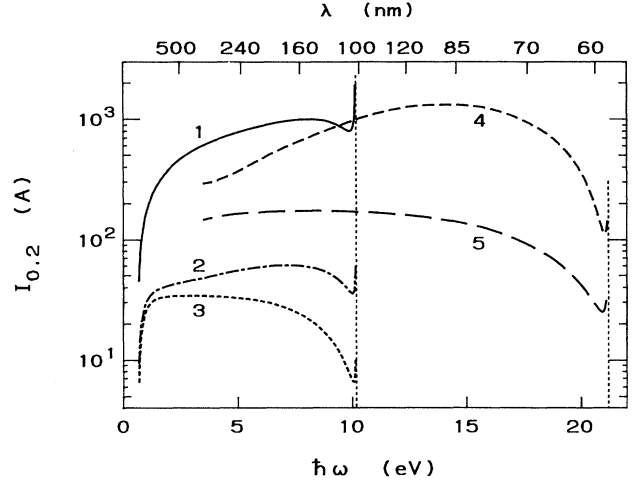


FIG. 7. The starting current  $I_{0.2}$ , required to obtain laser oscillation at a roundtrip loss of 20%, for the same beam and undulator parameters as for Fig. 6. See the caption of Fig. 6 for further details.

losses as 0.2 and a beam energy of 80 MeV, the starting current remains above 30–50 A. But a more realistic estimate of the cavity finesse in this range of wavelengths would give a 2–3 times higher value for the starting current. Such a current can already lead to a significant degradation of the refractive index of the medium during one macropulse, unless special measures, such as addition of a small fraction of a dopant gas [18], are taken. GFEL operation in the range 10–20 eV thus seems much more difficult.

The typical dependence of the optimal undulator length on the photon energy is shown in Fig. 8. A GFEL operating in the VUV region appears to be a compact device: the optimal undulator design has only 10–30

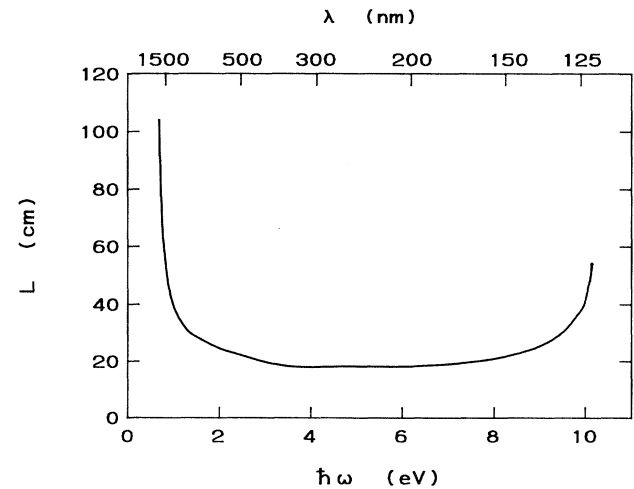


FIG. 8. The optimal undulator length as a function of the energy of photons. The beam energy is 50 MeV, the gas inside the cavity is hydrogen, the undulator parameters are the same as for Fig. 6.

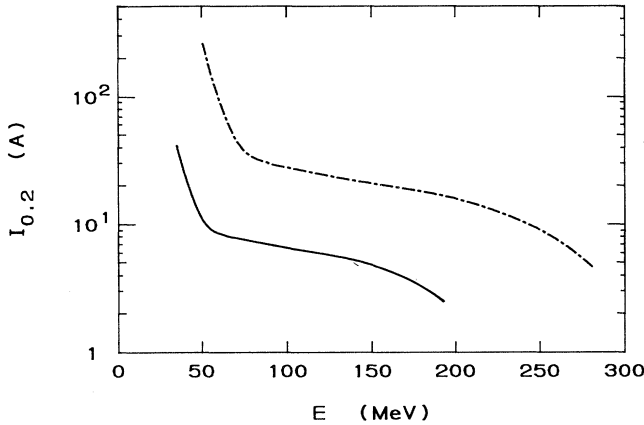


FIG. 9. The starting current  $I_{0.2}$  as a function of the beam energy. The upper curve, helium,  $\lambda=61$  nm; the lower curve, hydrogen,  $\lambda=130$  nm. The undulator parameters are  $\lambda_u=2$  cm,  $B_0=5$  kG.

periods and a total length of 20–100 cm. The corresponding intracavity electron beam radius is then very small, of order 100–200  $\mu\text{m}$ . When the beam energy  $\gamma_0$  is increased, the optimal undulator length scales approximately as  $\gamma_0^2$ .

An attractive feature of a GFEL is the possibility to provide ultraviolet radiation with a relatively low-current and low-energy accelerator; such a machine is relatively inexpensive. To study GFEL prospects in this respect, we calculated the starting current  $I_{0.2}$  for different beam energies. The undulator parameters were the same as for Fig. 2:  $\lambda_u=2$  cm,  $B_0=5$  kG. The results are shown in Fig. 9. One can see the rather weak dependence of  $I_{0.2}$  on the beam energy above some characteristic energy and a sharp increase below it. This is mainly a result of the “phase jitter.” Its influence is noticeable if the corresponding parameter  $Q$  [see Eq. (36)] is larger than unity,  $Q > 1$ . In turn,  $Q$  strongly depends on  $\gamma_0$ ; above a certain beam energy the “jitter” becomes, therefore, negligible. The typical energy range is above 50 MeV for hydrogen filling and above 80 MeV for helium filling.

## V. CONCLUSIONS

We have considered the influence of multiple scattering on the small-signal gain in a gas-loaded FEL. The influence was shown to be increasingly important in the short wavelength region. Two new effects were considered, as compared to ordinary helical FEL’s: the phase “jitter” of a particle over one undulator period and the coherence loss between different parts of the particle trajectory. Both lead to a significant gain reduction in the short wavelength region. Analytical forms accounting for the above effects were obtained in a one-dimensional approximation.

Numerical calculations were made to obtain insight into the beam and undulator parameters necessary for lasing in the ultraviolet. The hydrogen-filled GFEL’s were shown to have good prospects for this at today’s technological level. To suit this task in the range of

wavelength 125–140 nm, an electron beam should have an energy above 50 MeV and a good quality: a normalized emittance of order  $5\pi$  mm mrad and an energy spread below  $10^{-3}$ . All these parameters are achievable with modern linacs and photoinjectors.

The undulator length was shown to have an optimum that is connected to the influence of multiple scattering on the radius of the electron beam as it passes through the gas inside optical cavity. The optimal design for wavelengths 125–140 nm involves 10–30 periods and a total length of 20–100 cm; a GFEL operating in the VUV region thus appears to be a compact device. One can reach a gross small-signal gain of 20% with only 10 A of electron beam current.

The numerical scheme adopted in our calculations is based on a one-dimensional approach and does not include many additional effects such as, for instance, beam scattering in the input foil separating the accelerator vacuum and the undulator area, group velocity dispersion, the transverse structure of the optical mode, etc. On the other hand, it takes into account the most important features of GFEL and may serve as a good basis for further considerations.

## ACKNOWLEDGMENTS

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## APPENDIX A: AVERAGING OF THE PENDULUM EQUATIONS OVER ONE UNDULATOR PERIOD

In order to simplify the equations, one should first divide the variables  $\epsilon$  and  $\Phi$  into “slow” and “fast” parts,  $\epsilon \rightarrow \epsilon + \tilde{\epsilon}$ ,  $\Phi \rightarrow \Phi + \tilde{\Phi}$ , where  $\tilde{\epsilon}$  and  $\tilde{\Phi}$  represent now “fast” motions with typical frequency  $\Omega$ , which have to be averaged out to obtain the equations of motion for the “slow” variables  $\epsilon$  and  $\Phi$ . The fast energy variation  $\tilde{\epsilon}$  is seen from Eq. (1) to be proportional to the small parameter  $a_s$ . In the lowest nonvanishing order we may, therefore, neglect  $\tilde{\epsilon}$  terms and consider the energy  $\epsilon$  as a constant when averaging the equations of motion over the “fast” time scale.

The quantity of interest is then the phase  $\Phi$ . From Eq. (7) one can find an approximate solution for  $\tilde{\Phi}$  within one undulator period,

$$\tilde{\Phi}(\tilde{z}) = -\frac{\omega m a_u}{\epsilon \Omega} (v_{0x} \sin \Omega \tilde{z} - v_{0y} \cos \Omega \tilde{z} + v_{0y}), \quad (\text{A1})$$

where  $\tilde{z} \equiv z - N\lambda_u$  is the longitudinal coordinate of the particle, taken from the beginning of an undulator period,  $0 < \tilde{z} < \lambda_u$ .

Equation (7) is linear in  $\tilde{\Phi}$ ; the averaging is, therefore, trivial and leads simply to cancellation of the terms containing  $\sin \Omega \tilde{z}$  and  $\cos \Omega \tilde{z}$  [see Eq. (9)]. As for Eq. (1), it is essentially nonlinear in  $\tilde{\Phi}$ . The averaging over one undulator period then gives

$$\begin{aligned}
\sin\Phi &\rightarrow \frac{1}{\lambda_u} \int_0^{\lambda_u} d\bar{z} \sin[\Phi + \bar{\Phi}(\bar{z})] = \frac{1}{\lambda_u} \int_0^{\lambda_u} d\bar{z} \sin \left[ \Phi - \frac{\omega m a_u}{\epsilon \Omega} (v_{0x} \sin \Omega \bar{z} - v_{0y} \cos \Omega \bar{z} + v_{0y}) \right] \\
&= \frac{1}{2\pi} \int_0^{2\pi} d\xi \sin \left[ \Phi - \frac{\omega m a_u}{\epsilon \Omega} v_{0y} \right] \cos \left[ \frac{\omega m a_u}{\epsilon \Omega} (v_{0x} \sin \xi - v_{0y} \cos \xi) \right] \\
&\quad - \frac{1}{2\pi} \int_0^{2\pi} d\xi \cos \left[ \Phi - \frac{\omega m a_u}{\epsilon \Omega} v_{0y} \right] \sin \left[ \frac{\omega m a_u}{\epsilon \Omega} (v_{0x} \sin \xi - v_{0y} \cos \xi) \right].
\end{aligned}$$

The latter of the above integrals cancels because of the antisymmetric integrand, while the former leads to the final expression,

$$\sin\Phi \rightarrow \frac{1}{\pi} \sin \left[ \Phi - \frac{\omega m a_u}{\epsilon \Omega} v_{0y} \right] \int_0^\pi d\xi' \cos \left[ \frac{\omega m a_u}{\epsilon \Omega} v_{0t} \sin \xi' \right] = \sin \left[ \Phi - \frac{\omega m a_u}{\epsilon \Omega} v_{0y} \right] J_0 \left[ \frac{m \omega a_u v_{0t}}{\epsilon \Omega} \right], \quad (\text{A2})$$

where  $v_{0t} = \sqrt{v_{0x}^2 + v_{0y}^2}$  is the magnitude of the transverse velocity of the particle [see Eq. (8)]. The  $v_{0y}$  dependence in Eq. (A2) is an artifact appearing in the intermediate stage of our calculations. Because of the azimuthal symmetry of the problem, it will disappear after a proper averaging over all possible trajectories, as is done in Sec. III.

#### APPENDIX B: TRANSFORMATION OF EQ. (29)

To perform the transformation, it is convenient to use an integral representation of the zeroth order Bessel function

tion  $J_0$  [19],

$$J_0(\kappa \sqrt{x^2 + y^2}) = \frac{1}{\pi} \int_{-\kappa}^{\kappa} d\xi e^{i\xi y} \frac{\cos(x \sqrt{\kappa^2 - \xi^2})}{\sqrt{\kappa^2 - \xi^2}}. \quad (\text{B1})$$

Having defined

$$\kappa \equiv \frac{\omega a_u}{\gamma_i \Omega}$$

and using Eq. (B1), one obtains for the integral over transverse velocity space in Eq. (29)

$$\begin{aligned}
I(z, \tau) &\equiv \frac{1}{(4qz + \theta_0^2) \sinh(\sqrt{2i\omega q} \tau)} \left[ \frac{i\omega}{8q} \right]^{1/2} \int d^2 v_t \exp \left[ -\frac{v_t^2}{4qz + \theta_0^2} \right] J_0(\kappa v_t) \\
&\quad \times \int d^2 v'_t \exp[-i\kappa(v'_y - v_y)] J_0(\kappa v'_t) \\
&\quad \times \exp \left[ \left[ \frac{i\omega}{8q} \right]^{1/2} \left[ \frac{2\mathbf{v}_t \cdot \mathbf{v}'_t}{\sinh(\sqrt{2i\omega q} \tau)} - [v_t^2 + (v'_t)^2] \coth(\sqrt{2i\omega q} \tau) \right] \right] \\
&= \frac{1}{(4qz + \theta_0^2) \sinh(\sqrt{2i\omega q} \tau)} \left[ \frac{i\omega}{8q} \right]^{1/2} \frac{1}{(2\pi)^2} \int_{-\kappa}^{\kappa} \frac{d\xi}{\sqrt{\kappa^2 - \xi^2}} \int_{-\kappa}^{\kappa} \frac{d\eta}{\sqrt{\kappa^2 - \eta^2}} \\
&\quad \times \int d^2 v_t \int d^2 v'_t \exp[-Av_t^2 - B(v'_t)^2 + 2C\mathbf{v}_t \cdot \mathbf{v}'_t + i(\kappa - \xi)v_y - i(\kappa - \eta)v'_y] \\
&\quad \times (e^{iv_x \sqrt{\kappa^2 - \xi^2}} + e^{-iv_x \sqrt{\kappa^2 - \xi^2}})(e^{iv'_x \sqrt{\kappa^2 - \eta^2}} + e^{-iv'_x \sqrt{\kappa^2 - \eta^2}}),
\end{aligned}$$

where

$$A \equiv \frac{1}{4qz + \theta_0^2} + \left[ \frac{i\omega}{8q} \right]^{1/2} \coth(\sqrt{2i\omega q} \tau),$$

$$B \equiv \left[ \frac{i\omega}{8q} \right]^{1/2} \coth(\sqrt{2i\omega q} \tau),$$

and

$$C \equiv \frac{1}{\sinh(\sqrt{2i\omega q} \tau)} \left[ \frac{i\omega}{8q} \right]^{1/2}$$

are constants introduced for shorter notation.

The integral may thus be reduced to the sum of four integrals of a Gaussian type; each of them can be calculated with the help of the following formula:

$$\int_{-\infty}^{\infty} dx \int_{-\infty}^{\infty} dy e^{-Ax^2 - By^2 + 2Cxy + i\alpha_1 x + i\alpha_2 y} = \frac{\pi}{\sqrt{AB - C^2}} \exp \left[ -\frac{A\alpha_2^2 + B\alpha_1^2 + 2C\alpha_1\alpha_2}{4(AB - C^2)} \right]. \quad (\text{B2})$$

The combination  $(AB - C^2)$  is common for all the four integrals and is equal to

$$AB - C^2 = \left[ \frac{i\omega}{8q} \right]^{1/2} \frac{\cosh(\sqrt{2i\omega q} \tau) + \sqrt{2i\omega q} (z + \theta_0^2/4q) \sinh(\sqrt{2i\omega q} \tau)}{(4qz + \theta_0^2) \sinh(\sqrt{2i\omega q} \tau)}. \quad (\text{B3})$$

Calculating the four Gaussian integrals and putting them together, one has

$$\begin{aligned} I(z, \tau) = & \int_{-\kappa}^{\kappa} \frac{d\xi}{\sqrt{\kappa^2 - \xi^2}} \int_{-\kappa}^{\kappa} \frac{d\eta}{\sqrt{\kappa^2 - \eta^2}} \frac{1}{[\cosh(\sqrt{2i\omega q} \tau) + \sqrt{2i\omega q} (z + \theta_0^2/4q) \sinh(\sqrt{2i\omega q} \tau)]} \\ & \times \exp \left[ \frac{2q(z + \theta_0^2/4q)[\kappa(2\kappa - \eta - \xi)\cosh(\sqrt{2i\omega q} \tau) - (\kappa - \eta)(\kappa - \xi)]}{\cosh(\sqrt{2i\omega q} \tau) + \sqrt{2i\omega q} (z + \theta_0^2/4q) \sinh(\sqrt{2i\omega q} \tau)} \right] \\ & \times \exp \left[ \left[ \frac{2q}{i\omega} \right]^{1/2} \frac{\kappa(\kappa - \eta) \sinh(\sqrt{2i\omega q} \tau)}{\cosh(\sqrt{2i\omega q} \tau) + \sqrt{2i\omega q} (z + \theta_0^2/4q) \sinh(\sqrt{2i\omega q} \tau)} \right] \\ & \times \cosh \left[ \frac{2q(z + \theta_0^2/4q) \sqrt{(\kappa^2 - \eta^2)(\kappa^2 - \xi^2)}}{\cosh(\sqrt{2i\omega q} \tau) + \sqrt{2i\omega q} (z + \theta_0^2/4q) \sinh(\sqrt{2i\omega q} \tau)} \right]. \quad (\text{B4}) \end{aligned}$$

Substituting the above result into Eq. (29) and introducing new variables  $\eta = \kappa \cos \phi$ ,  $\xi = \kappa \cos \psi$ ,  $\tau = t / \sqrt{\omega q}$ , and  $z = T / \sqrt{\omega q} - \theta_0^2 / 4q$ , we arrive at our final formula Eq. (34).

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